



WAP/11

ATTORNEY'S DOCKET NO.: 2003080-0083 (SK-943-US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Danishefsky et al. Examiner :
Serial No. : 09/938,754 Art Unit : 1651
Filed : August 24, 2001
For : *Novel Macrocycles and Uses Thereof*

BOX MISSING PARTS
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

TRANSMITTAL LETTER

Enclosed are the following documents:

1. Notice to File Missing Parts of Nonprovisional Application (2 pp.);
2. Petition for Extension of Time (1 pg.);
3. Executed Appointment of Attorney (1 pg.);
4. Executed Written Assertion of Small Entity Status Under 37 CFR §1.27(a) (1 pg.);
5. Executed Establishing Right of Assignee to Take Action (2 pp.);
6. Executed Declarations (16 pp., total);
7. Copy of Executed Assignments (16 pp., total);
8. One set of Formal Drawings (28 pp.);
9. Check in the amount of \$460.00;
10. Check in the amount of \$65.00;
11. Return Postcard

If any additional fees are required to be paid or if any overpayment has been made, please charge same to Deposit Account No. 03-1721.

Respectfully submitted,

Karoline K. M. Shair

Karoline K.M. Shair, Ph.D.
Reg. No. 44,332

Choate, Hall & Stewart
Exchange Place
53 State Street
Boston, MA 02109
(617) 248-5000
Dated: March 12, 2002

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I hereby certify that this correspondence is being
deposited with the United States Postal Service as first
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Commissioner For Patents, Washington, D.C. 20231
on March 12, 2002
Karen E. Hopp



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/938,754 | 08/24/2001 | Samuel J. Danishefsky | 2003080-0083 |

24280
Choate, Hall & Stewart
Exchange Place
53 State Street
Boston, MA 02109

CONFIRMATION NO. 4106

FORMALITIES LETTER



OC00000000689371

Date Mailed: 10/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/22/2002 AMAB11 00000019 09938754

02 FC:205

65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Zaharic A. Medlin

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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ATTORNEY'S DOCKET NO.: 2003080-0083 (SK-943-US)

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Danishefsky et al. Examiner : not yet assigned
Serial No. : 09/938,754 Art Unit : 1651
Filed : August 24, 2001
For : *Novel Macrocycles and Uses Thereof*

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

TRANSMITTAL LETTER

Enclosed is the following document:

1. Preliminary Amendment (2 pp.)

If any additional fees are required to be paid or if any overpayment has been made, please charge same to Deposit Account No. 03-1721.

Respectfully submitted,

Karoline K. M. Shair

Karoline K.M. Shair, Ph.D.
Reg. No. 44,332

Choate, Hall & Stewart
Exchange Place
53 State Street
Boston, MA 02109
(617) 248-5000
Dated: March 12, 2002

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**CERTIFICATE OF FACSIMILE TRANSMISSION
PURSUANT TO 1096 OG 30-31**

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DC 20231

on March 12, 2002
Raven E. [Signature]



#7

ATTORNEY'S DOCKET NO.: 2003080-0083 (SK-943-US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Danishefsky *et al.* Examiner :
Serial No. : 09/938,754 Art Unit : 1651
Filed : August 24, 2001
For : *Novel Macrocycles and Uses Thereof*

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WASHINGTON, D.C. 20231

Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION
(37 CFR 3.73(b))

The assignee of the entire right, title and interest, Sloan-Kettering Institute for Cancer Research, hereby seeks to take action in the Patent and Trademark Office in the above-referenced matter:

Ownership by the assignee is established as follows:

_____ documents already recorded in the PTO on _____

Reel _____ Frame _____; or

 X documents being separately submitted for recordal to the PTO (a copy of these documents are attached).

STATEMENT

I, a person authorized to sign on behalf of the assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as assignees who seek to take further action.

ASSIGNEE SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH

James S. Quirk
NAME OF PERSON AUTHORIZED TO SIGN James S. Quirk
TITLE: Senior Vice President, Research Resources Management

Respectfully submitted,

Karoline K. M. Shair
Karoline K.M. Shair, Ph.D.
Reg. No. 44,332

Choate, Hall & Stewart
Exchange Place
53 State Street
Boston, MA 02109
(617) 248-5000
Date: 3/8/02

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231
on March 12, 2002
Karen E. George



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| FROM: Karoline K.M. Shain, Ph.D. | | | | | |
| DIRECT DIAL: (617) 248-5216 | | | | | |
| REMARKS: Please see attached Transmittal (1 pg.) and Preliminary Amendment (2 pp.). | | | | | |
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FROM: Karoline K.M. Shair, Ph.D.

DIRECT DIAL: (617) 248-5216

REMARKS: Please see attached Transmittal (1 pg.) and Preliminary Amendment (2 pp.).

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